



INFORMATION CITED BY APPLICANT THAT MAY BE MATERIAL TO THE
PROSECUTION OF THE SUBJECT APPLICATION

Applicant: Christopher Keith Attorney Docket No.: IVEN125524
Application No.: 09/802,223 Art Unit: 3628 / Confirmation No.: 6685
Filed: March 8, 2001 Examiner: O. Oyebisi
Title: AUTOMATED PREFERENCES FOR MARKET PARTICIPANTS

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	Document No.	Kind Code	Date (mm/dd/yyyy)	Name
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_____	U2	4,346,442		08/24/1982	Musmanno
_____	U3	4,376,978		03/15/1983	Musmanno
_____	U4	4,456,957		06/26/1984	Schieltz
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_____	U7	5,101,353		03/31/1992	Lupien et al.
_____	U8	5,148,365		09/15/1992	Dembo
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_____	U20	5,953,707		09/14/1999	Huang et al.

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_____	U21	5,983,200		11/09/1999	Slotznick
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FOREIGN PATENT DOCUMENTS

None.

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OTHER INFORMATION
(Including Author, Title, Date, Pertinent Pages, Etc.)

*Examiner Initial	Cite No.
_____	O1 Board of Trade of the City of Chicago, <i>Commodity Trading Manual</i> , 1998, pp. 178-179, 387.
_____	O2 Hull, J.C. <i>Introduction to Futures & Options Markets</i> , Prentice Hall, Englewood Cliffs, N.J., 1995, pp. 4-6, 11, 26, 33-34, 188-190.
_____	O3 "More Companies Buying Stock," <i>The Salt Lake Tribune</i> , April 9, 2000, http://proquest.umi.com/pqdweb?index=6&did=52501230&SrchMode=1&sid=21&Fmt=3... [retrieved November 3,2005]
_____	O4 "Streamer Free Real-Time Stock Quote Service Registers 12,000 Users," <i>PR Newswire</i> , November 3, 1999, http://www.findarticles.com/m4PRN/1999_Nov_3/57153236/p1/article.jhtml , [retrieved April 5, 2004].

Examiner

Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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